

# 课程详述

## **COURSE SPECIFICATION**

以下课程信息可能根据实际授课需要或在课程检讨之后产生变动。如对课程有任何疑问,请联 系授课教师。

The course information as follows may be subject to change, either during the session because of unforeseen circumstances, or following review of the course at the end of the session. Queries about the course should be directed to the course instructor.

| 1.  | 课程名称 Course Title   | 光纤通信原理与技术 Fiber Communication Principles and Techniques   |
|-----|---|---|
| 2.  | 授课院系<br>Originating Department  | 电子与电气工程<br>Electrical and Electronic Engineering  |
| 3.  | 课程编号<br>Course Code   | EE308   |
| 4.  | 课程学分 Credit Value   | 3   |
| 5.  | 课程类别<br>Course Type   | 专业选修课 Major Elective Courses  |
| 6.  | 授课学期<br>Semester  | 春季 Spring   |
| 7.  | 授课语言<br>Teaching Language   | 中英双语 English & Chinese  |
| 8.  | 授课教师、所属学系、联系方<br>式(如属团队授课,请列明其<br>他授课教师)  | 罗 丹 副教授<br>电子与电气工程系 第二科研楼 <b>525</b><br>电话: +86-755-88018552<br>电邮: luo.d@sustc.edu.cn  |
|     | Instructor(s), Affiliation&<br>Contact<br>(For team teaching, please list<br>all instructors) | Dr. Dan Luo Associate Professor Department of Electrical and Electronic Engineering, Faculty Research Building 2, room 525 Tel: +86-755-88018552 Email: uo.d@sustc.edu.cn       |
| 9.  | 实验员/助教、所属学系、联系<br>方式<br>Tutor/TA(s), Contact  | 徐琳琳,教学工程师,电子与电气工程系<br>xull@sustc.edu.cn<br>15338737651<br>Linlin Xu, Teaching Engineer, Department of Electrical and Electronic Engineering<br>xull@sustc.edu.cn<br>15338737651 |
| 10. | 选课人数限额(可不填)  Maximum Enrolment (Optional)   | 50  |



| 11. | 授课方式                   | 讲授       | 习题/辅导/讨论  | 实验/实习         | 其它(请具体注明)              | 总学时   |
|-----|------------------------|----------|-----------|---------------|------------------------|-------|
|     | <b>Delivery Method</b> | Lectures | Tutorials | Lab/Practical | Other (Please specify) | Total |
|     | 学时数                    | 32       |           | 16            |                        | 48    |
|     | Credit Hours           |          |           |               |                        |       |

先修课程、其它学习要求

Other | 无 12. **Pre-requisites Academic Requirements** 

后续课程、其它学习规划

13. is a pre-requisite

本课程为光电子和通信专业选修课;其它非光电子和通信专业学生如果想学习光纤通信方 面的知识, 也可选修本课程。

Courses for which this course | This course is optional subject for optoelectronics and communications major; other non-optoelectronics and non-communications majors can also select it, if you want to learn fiber optic communications.

其它要求修读本课程的学系 14. Cross-listing Dept.

无 None

#### 教学大纲及教学日历 SYLLABUS

#### 15. 教学目标 Course Objectives

本课程适合光电子、通信专业本科三年级。通过该课程的学习,学生将掌握光纤通信的基本原理和技术,了解光纤通信相 关的各种器件。了解从光纤结构、光源、光功率发射与耦合、光检测接收到波分复用、光放大器以及非线性效应等知识。

This course is suitable for optoelectronics, communications undergraduate year 3 undergraduate. Through this course, students will master the basic principles and techniques of optical fiber communication, understanding the various components related to optical fiber communication. Learn from the fiber structure, light source, optical power transmission and coupling, optical detector receives, WDM, optical amplifiers, and nonlinear effects of such knowledge.

#### 16. 预达学习成果 Learning Outcomes

通过该课程的学习,学生将全面的了解和掌握光纤通信的基本知识构架,具备初步的光纤通信基础知识和实验动手能力, 能够将理论与实际相结合,具备一定的分析能力,和动手能力。

Through this course, students will fully understand and master the basics of fiber optical communication architecture, and fiber optical communications infrastructure with preliminary knowledge and practical ability, the ability to combine theory and practice, with some analytical skills, and ability.

17. 课程内容及教学日历(如授课语言以英文为主,则课程内容介绍可以用英文;如团队教学或模块教学,教学日历须注明

Course Contents (in Parts/Chapters/Sections/Weeks. Please notify name of instructor for course section(s), if this is a team teaching or module course.)



### **Chapter 1: Overview of Optical Fiber Communications**

- 1.1 Basic Network Information Rates
- 1.2 The Evolution of Fiber Optic Systems
- 1.3 Elements of an Optical Fiber Transmission Link
- 1.4 Simulation and Modeling Tools
- 1.4.1 Characteristics of Simulation and Modeling Tools
- 1.4.2 Programming Languages
- 1.4.3 Sample Simulation and Modeling Tool
- 1.5 Use and Extension of the Book
- 1.5.1 References
- 1.5.2 Simulation Program on a CD-ROM
- 1.5.3 Photonics Laboratory

### Chapter 2: Optical Fibers: Structures, Waveguiding, and Fabrication

- 2.1 The Nature of Light
- 2.1.1 Linear Polarization
- 2.1.2 Elliptical and Circular Polarization
- 2.1.3 The Quantum Nature of Light
- 2.2 Basic Optical Laws and Definitions
- 2.3 Optical Fiber Modes and Configurations
- 2.3.1 Fiber Types
- 2.3.2 Rays and Modes
- 2.3.3 Step-Index Fiber Structure
- 2.3.4 Ray Optics Representation
- 2.3.5 Wave Representation in a Dielectric Slab Waveguide
- 2.4 Mode Theory for Circular Waveguides
- 2.4.1 Overview of Modes
- 2.4.2 Summary of Key Modal Concepts
- 2.4.3 Maxwell's Equations
- 2.4.4 Waveguide Equations
- 2.4.5 Wave Equations for Step-Index Fibers
- 2.4.6 Modal Equation
- 2.4.7 Modes in Step-Index Fibers
- 2.4.8 Linearly Polarized Modes
- 2.4.9 Power Flow in Step-Index Fibers
- 2.5 Single-Mode Fibers
- 2.5.1 Mode Field Diameter
- 2.5.2 Propagation Modes in Single-Mode Fibers
- 2.6 Graded-Index Fiber Structure
- 2.7 Fiber Materials
- 2.7.1 Glass Fibers
- 2.7.2 Halide Glass Fibers
- 2.7.3 Active Glass Fibers
- 2.7.4 Chalgenide Glass Fibers
- 2.7.5 Plastic Optical Fibers
- 2.8 Fiber Fabrication
- 2.8.1 Outside Vapor Phase Oxidation
- 2.8.2 Vapor Phase Axial Deposition
- 2.8.3 Modified Chemical Vapor Depositionosition
- 2.8.5 Double-Crucible Method
- 2.9 Mechanical Properties of Fibers
- 2.10 Fiber Optic Cables

## **Chapter 3: Signal Degradation in Optical Fibers**

- 3.1 Attenuation
- 3.1.1 Attenuation Units
- 3.1.2 Absorption
- 3.1.3 Scattering Losses
- 3.1.4 Bending Losses
- 3.1.5 Core and Cladding Losses
- 3.2 Signal Distortion in Optical Waveguides



- 3.2.1 Information Capacity Determination
- 3.2.2 Group Delay
- 3.2.3 Material Dispersion
- 3.2.4 Waveguide Dispersion
- 3.2.5 Signal Distortion in Single-Mode Fibers
- 3.2.6 Polarization-Mode Dispersion
- 3.2.7 Intermodal Distortion
- 3.3 Pulse Broadening in Graded-Index Waveguides
- 3.4 Mode Coupling
- 3.5 Design Optimization of Single-Mode Fibers
- 3.5.1 Refractive-Index Profiles
- 3.5.2 Cutoff Wavelength
- 3.5.3 Dispersion Calculations
- 3.5.4 Mode-Field Diameter
- 3.5.5 Bending Loss

### **Chapter 4: Optical Sources**

- 4.1 Topics From Semiconductor Physics
- 4.1.1 Energy Bands
- 4.1.2 Intrinsic and Extrinsic Material
- 4.1.3 The pn Junctions
- 4.1.4 Direct and Indirect Bandgaps
- 4.1.5 Semiconductor Device Fabrication
- 4.2 Light-Emitting Diodes (LEDs)
- 4.2.1 LED Structures
- 4.2.2 Light Source Materials
- 4.2.3 Quantum Efficiency and LED Power
- 4.2.4 Modulation of an LED
- 4.3 Laser Diodes
- 4.3.1 Laser Diode Modes and Threshold Conditions
- 4.3.2 Laser Diode Rate Equations
- 4.3.3 External Quantum Efficiency
- 4.3.4 Resonant Frequencies
- 4.3.5 Laser Diode Structures and Radiation Patterns
- 4.3.6 Single-Mode Lasers
- 4.3.7 Modulation of Laser Diodes
- 4.3.8 Temperature Effects
- 4.4 Light Source Linearity
- 4.5 Modal, Partition, and Reflection Noise
- 4.6 Reliability Considerations

## **Chapter 5: Power Launching and Coupling**

- 5.1 Source-to-Fiber Power Launching
- 5.1.1 Source Output Pattern
- 5.1.2 Power-Coupling Calculation
- 5.1.3 Power Launching versus Wavelength
- 5.1.4 Equilibrium Numerical Aperture
- 5.2 Lensing Schemes for Coupling Improvement
- 5.2.1 Nonimaging Microsphere
- 5.2.2 Laser Diode-to-Fiber Coupling
- 5.3 Fiber-to-Fiber Joints
- 5.3.1 Mechanical Misalignment
- 5.3.2 Fiber-Related Losses
- 5.3.3 Fiber End Face Preparation
- 5.4 LED Coupling to Single-Mode Fibers
- 5.5 Fiber Splicing
- 5.5.1 Splicing Techniques
- 5.5.2 Splicing Single-Mode Fibers
- 5.6 Optical Fiber Connectors
- 5.6.1 Connector Types
- 5.6.2 Single-Mode Fiber Connectors
- 5.6.3 Connector Return Loss



#### **Chapter 6: Photodetectors**

- 6.1 Physical Principles of Photodiodes
- 6.1.1 The pin Photodetector
- 6.1.2 Avalanche Photodiodes
- 6.2 Photodetector Noise
- 6.2.1 Noise Sources
- 6.2.2 Signal-to-Noise Ratio
- 6.3 Detector Response Time
- 6.3.1 Depletion Layer Photocurrent
- 6.3.2 Response Time
- 6.4 Avalanche Multiplication Noise
- 6.5 Structures for InGaAs APDs
- 6.6 Temperature Effect on Avalanche Gain
- 6.7 Comparison of Photodetectors

#### **Chapter 7: Optical Receiver Operation**

- 7.1 Fundamental Receiver Operation
- 7.1.1 Digital Signal Transmission
- 7.1.2 Error sources
- 7.1.3 Receiver Configuration
- 7.1.4 Fourier Transform Representation
- 7.2 Digital Receiver Performance
- 7.2.1 Probability of Error
- 7.2.2 The Quantum Limit
- 7.3 Detailed Performance Calculation
- 7.3.1 Receiver Noises
- 7.3.2 Shot Noise
- 7.3.3 Receiver Sensitivity Calculation
- 7.3.4 Performance Curves
- 7.3.5 Nonzero Extinction ratio
- 7.4 Preamplifier Types
- 7.4.1 High-Impedance FET Amplifiers
- 7.4.2 High-Impedance Bipolar Transistor Amplifiers
- 7.4.3 Transimpedance Amplifier
- 7.4.4 High-Speed Circuits
- 7.5 Analog receivers

## **Chapter 8: WDM Concepts and Components**

- 8.1 Operational Principles of WDM
- 8.2 Passive Components
- 8.2.1 The 2 X 2 Fiber Coupler
- 8.2.2 Scattering Matrix Representation
- 8.2.3 The 2 X 2 Waveguide Coupler
- 8.2.4 Star Couplers
- 8.2.5 Mach-Zehnder Interferometer Multiplexers
- 8.2.6 Fiber Grating Filters
- 8.2.7 Phased-Array-Based WDM Devices
- 8.3 Tunable Sources
- 8.4 Tunable Filters
- 8.4.1 System Considerations
- 8.4.2 Tunable Filter Types

Problems

References

#### **Chapter 9 - Optical Amplifiers**

- 9.1 Basic Applications and Types of Optical Amplifiers
- 9.1.1 General Applications



- 9.1.2 Amplifier Types
- 9.2 Semiconductor Optical Amplifiers
- 9.2.1 External Pumping
- 9.2.2 Amplifier Gain
- 9.3 Erbium-Doped Fiber Amplifiers
- 9.3.1 Amplification Mechanism
- 9.3.2 EDFA Architecture
- 9.3.3 EDFA Power-Conversion Efficiency and Gain
- 9.4 Amplifier Noise
- 9.5 System Applications
- 9.5.1 Power Amplifiers
- 9.5.2 In-Line Amplifiers
- 9.5.3 Preamplifiers
- 9.5.4 Multichannel Operation
- 9.5.5 In-Line Amplifier Gain Control
- 9.6 Wavelength Converters
- 9.6.1 Optical Gating Wavelength Converters
- 9.6.2 Wave-Mixing Wavelength Converters

#### Chapter 10r -Nonlinear Effects

- 10.1 Nonlinear effects
- 10.2 Effective length and the effective area of
- 10.3 Stimulated Raman Scattering
- 10.4 Stimulated Brillouin Scattering
- 10.5 Self-phase modulation
- 10.6 Cross-phase modulation
- 10.7 FWM
- 10.8 Reduce FWM
- 10.9 Wavelength conversion
- 10.9.1 Optical gate wavelength converter
- 10.9.2 Wave mixing wavelength converter
- 10.10 Soliton

## 18. 教材及其它参考资料 Textbook and Supplementary Readings

推荐教材 Textbook:

Gerd Keiser,光纤通讯(Optical Fiber Communications),第四版,电子工业出版社。

## 课程评估 ASSESSMENT

| 19. | 评估形式                               | 评估时间 | 占考试总成绩百分比  | 违纪处罚    | 备注    |
|-----|------------------------------------|------|------------|---------|-------|
|     | Type of                            | Time | % of final | Penalty | Notes |
|     | Assessment                         |      | score      |         |       |
|     | 出勤 Attendance                      |      | 0%         |         |       |
|     | 课堂表现                               |      | 0%         |         |       |
|     | Class<br>Performance               |      |            |         |       |
|     | 小测验<br>Quiz                        |      | 0%         |         |       |
|     | 课程项目 Projects                      |      | 20%        |         |       |
|     | 平时作业<br>Assignments                |      | 10%        |         |       |
|     | 期中 <del>考</del> 试<br>Mid-Term Test |      |            |         |       |
|     | 期末考试                               |      | 50%        |         |       |



| Final Exam<br>期末报告<br>Final<br>Presentation   | 0%  |  |
|---|-----|--|
| 实验<br>Experiments   | 20% |  |
| 其它(可根据需要<br>改写以上评估方<br>式)<br>Others (The<br>above may be<br>modified as<br>necessary) |     |  |

## 20. 记分方式 GRADING SYSTEM

☑ A. 十三级等级制 Letter Grading

□ B. 二级记分制(通过/不通过) Pass/Fail Grading

## 课程审批 REVIEW AND APPROVAL